

RECEIVED
SDNY PRO SE OFFICE
2024 NOV 14 AM 8:50

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

JODI ROUVIERE and
ANDRE ROUVIERE,

Plaintiffs,

v.

DEPUY ORTHOPAEDICS, INC. n/k/a
MEDICAL DEVICE BUSINESS
SERVICES, INC. and HOWMEDICA
OSTEONICS CORPORATION
d/b/a STRYKER ORTHOPAEDICS,

Defendants.

Case No. 1:18-cv-04814-LJL-SDA

**PLAINTIFF JODI ROUVIERE'S VERIFIED MOTION TO DISQUALIFY
MAGISTRATE JUDGE GARY STEIN PURSUANT TO TITLE 28 U.S.C. 455(a)**

COMES NOW, the Plaintiff Jodi Rouviere, pro se, in the above-entitled numbered cause who files this, her Motion to disqualify Magistrate Judge Gary Stein pursuant to Title 28 U.S.C. 455(a), and in support thereof states the following:

1. Pending before this Court is Plaintiff's pro se verified Rule 60(b)(6) motion to vacate the final judgments previously entered by Judge Liman, which includes the orders entered by Magistrate Judge Stewart Aaron in the instant case.
2. On November 7, 2024, the instant case was reassigned to District Judge Mary Kay Vyskocil. The pretrial matters were referred to Magistrate Judge Hector Ricardo.
3. The same day, the instant case was reassigned to District Judge Gregory H. Woods. The Clerk also entered a reassignment of a referral to another Magistrate Judge, Judge Gary Stein for general pretrial matters.

4. As evidenced by the attached financial report dated January 25, 2024, Judge Stein declared that he received dividends from Defendant Stryker in the instant case. *See, Financial Disclosure Report – Initial Filing signed by Judge Stein at p.16, entry 202* (“Stryker Corp (SYK) A Dividend.”)

5. The record in the instant case clearly demonstrates that Stryker is a named Defendant in the instant case and received most favorable rulings and decisions by Judge Liman, including granting Stryker’s motion for summary judgment.

6. The Second Circuit has made clear that even where a spouse of a jurist in this district who owns stock in one of the named defendants in a civil case but sells the stock three months before the jurist decides to dismiss the case, the jurist must be disqualified, requiring the order of dismissal to be vacated. *See, Litovich v. Bank of Am. Corp.*, 106 F.4th 218, 228 (2d Cir. 2024).

7. Here, Judge Stein’s financial disclosure report reflects that he received dividends from Defendant Stryker, warranting disqualification as a matter of clearly established law in the Second Circuit.

8. Plaintiff submits that to allow Magistrate Judge Stein to preside over any proceeding or matter, i.e. discovery requests etc., would be a clear violation of section 455(a) and be in direct conflict with the holding in *Litovich* by the Second Circuit.

9. Plaintiff is entitled to a conflict free judge to preside over her case and not one who owns stock or receives money from Defendants in the instant case.

10. Further, Plaintiff requests that all matters should be decided by the Court and not refer any matters in the instant case to a magistrate judge.

WHEREFORE, the Plaintiff Jodi Rouviere requests the Court to grant the instant motion based on the foregoing verified facts, Judge Stein's financial disclosure report and law; and enter an order granting same and not refer the case to a magistrate judge.

November 8, 2024
Miami, Florida

Respectfully submitted,



Jodi Rouviere
10950 Southwest 84th Court
Miami, FL 33156-3526
(305)608-8076
jodi.jtm@gmail.com
Appearing pro se

VERIFICATION

UNDER PENALTIES OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA PURSUANT TO 28 U.S.C. § 1746 and Fla. Stat. § 92.525, I, JODI ROUVIERE, DECLARE THAT I HAVE READ THE FOREGOING MOTION TO VACATE AND EXHIBITS THERETO AFFIRM THAT THE FACTS STATED HEREIN ARE TRUE AND CORRECT, done on this 8th day of November 2024.


JODI ROUVIERE

CERTIFICATE OF CONFERENCE

I HEREBY CERTIFY that I have conferred with all interested parties including the counsel for Defendants regarding the instant motion by emailing counsel for Defendants. Defendants' counsel stated they and their clients needed additional time to investigate the claims presented in the motion and make a decision on their position. Thus, Defendants' anticipated responses will indicate their respective position on the instant motion.


Jodi Rouviere

CERTIFICATE OF SERVICE

I hereby certify that on November 8, 2024, the foregoing documents was filed with the Clerk of the Court and served in accordance with the Federal Rules of Civil Procedure, and/or the Southern District's Local Rules, and/or the Southern District's Rules on Electronic Service upon the following parties and participants:

Paul E. Asfendis
GIBBONS, P.C.
One Pennsylvania Plaza
New York, NY 10119

Joseph G. Eaton
J.T. Larson
Barnes & Thornburg, LLP
11 South Meridian Street
Indianápolis, Indiana 46204


Jodi Rouviere

AO 10
Rev. 3/2023**FINANCIAL DISCLOSURE REPORT
INITIAL FILING***Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 13101-13111)*

1. Person Reporting (last name, first, middle initial) Stein, Gary	2. Court or Organization U.S. District Court for the Southern District of NY	3. Date of Report 01/25/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2022 to 12/31/2023
7. Chambers or Office Address 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Schulte Roth & Zabel LLP
2. Member	Board of Directors of the Legal Aid Society
3. Member	Board of Editors of the Business Crimes Bulletin
4. Member	Amicus Committee of the New York Council of Defense Lawyers
5.	

II. AGREEMENTS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2006	Partnership agreement with Schulte Roth & Zabel LLP regarding payment of capital (reportable assets are listed in Part VII)
2. 2004	Employer-sponsored retirement plans with Schulte Roth & Zabel LLP (reportable assets are listed in Part VII)
3. 2006	Schulte Roth & Zabel LLP Defined Benefit Pension Plan

FINANCIAL DISCLOSURE REPORT

Page 2 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)***A. Filer's Non-Investment Income**☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2023	Rowman & Littlefield (advance on book)	\$1,270.00
2. 2023	Blackstone Publishing (advance on audio book)	\$4,245.00
3. 2023	Attorney (self employed)	\$398,856.00
4. 2022	Rowman & Littlefield (advance on book)	\$1,270.00
5. 2022	Attorney (self employed)	\$1,311,902.00
6. 2021	Attorney (self employed)	\$1,444,655.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE</u>
1. 2023	NYU (teaching income)
2. 2023	Monster Beverage Corporation (director compensation)
3. 2022	NYU (teaching income)
4. 2022	Monster Beverage Corporation (director compensation)

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*☐

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

5. _____

FINANCIAL DISCLOSURE REPORT

Page 4 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Individual Assets (H)					Exempt				
2. Citibank (cash)	C	Interest	N	T					
3. Bank of America (cash)	A	Interest							
4. Schulte Roth & Zabel LLP Capital Account		None	N	T					
5. Account #1 (H)									
6. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	A	Dividend	K	T					
7. First Trust NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T					
8. Invesco S&P 500 Equal Weight ETF (RSP)	A	Dividend	K	T					
9. iShares Core U.S. Aggregate Bond ETF (AGG)	C	Dividend	N	T					
10. iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB)	A	Dividend	K	T					
11. iShares Core MSCI Emerging Markets ETF (IEMG)	B	Dividend	L	T					
12. iShares Core MSCI International Developed Markets ETF (IDIV)	B	Dividend	M	T					
13. iShares Broad USD High Yield Corporate Bond ETF (USHY)	A	Dividend	K	T					
14. SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T					
15. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	K	T					
16. Schwab U.S. TIPS ETF (SCHP)	A	Dividend	J	T					
17. Vanguard International Dividend Appreciation Index Fund (VIGI)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Vanguard Total International Bond Index Fund (BNDX)	A	Dividend	J	T					
19. Vanguard Short-Term Corporate Bond Index Fund (VCSH)	A	Dividend	J	T					
20. Vanguard Intermediate-Term Treasury Index Fund (VGIT)	A	Dividend	J	T					
21. Vanguard Long-Term Treasury Index Fund (VGLT)	A	Dividend	J	T					
22. Vanguard Intermediate-Term Corporate Bond Index Fund (VCIT)	A	Dividend	K	T					
23. Vanguard 500 Index Fund (VOO)	C	Dividend	N	T					
24. Vanguard Extended Market Index Fund (VXF)	A	Dividend	L	T					
25. Account #2 (H)									
26. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	A	Dividend	J	T					
27. First Trust NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T					
28. Invesco S&P 500 Equal Weight ETF (RSP)	A	Dividend	K	T					
29. iShares Core MSCI Emerging Markets ETF (IEMG)	B	Dividend	L	T					
30. iShares Core MSCI International Developed Markets ETF (IDEV)	B	Dividend	L	T					
31. SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T					
32. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T					
33. Vanguard International Dividend Appreciation Index Fund (VIGI)	A	Dividend	K	T					
34. Vanguard 500 Index Fund (VOO)	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. Vanguard Extended Market Index Fund (VXF)	A	Dividend	K	T					
36. Vanguard Growth Index Fund (VUG)	A	Dividend	K	T					
37. Vanguard Value Index Fund (VTI)	A	Dividend	K	T					
38. Account #3 (H)									
39. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	B	Dividend	K	T					
40. First Trust NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	K	T					
41. Invesco Exchange-Traded Fd TR S&P 500 Equal Weight ETF (RSP)	A	Dividend	M	T					
42. iShares MSCI Brazil ETF (EWZ)	A	Dividend							
43. iShares MSCI China ETF (MCHI)	A	Dividend							
44. iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	C	Dividend	M	T					
45. iShares TR Core MSCI Intl Developed Mkts ETF (IDEV)	C	Dividend	N	T					
46. SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	K	T					
47. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	K	T					
48. Vanguard Whitehall Fds Divid Appreciation ETF Shs (VIGI)	A	Dividend	L	T					
49. Vanguard Index S&P 500 ETF SHS (VOO)	C	Dividend	O	T					
50. Vanguard Index Fds Vanguard Extended Mkt ETF (VXF)	B	Dividend	M	T					
51. Vanguard Growth Index Fund (VUG)	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
52. Vanguard Index FDS Vanguard Value ETF (VTV)	B	Dividend	M	T					
53. Tulare Cnty Calif Pension Oblig Taxable Pension Obli Bds (899154AU2)		None							
54. Massachusetts St Wtr Res Auth Taxable Gen Rev Ref green (576051VV5)	A	Interest							
55. SaddleBack VY Uni Sch Dist Calif Taxable Go Ref Bds 2019 (786318LA1)		None							
56. Jobsohio Beverage Sys Ohio Statewide Liquor Profits Rev (47770VAX8)	A	Interest							
57. Kansas St Dev Fin Auth Rev Taxable Bds 2015 H (485429Y65)	A	Interest							
58. Phoenix Ariz Civic IMPT Corp Excise (71884AG78)	A	Interest							
59. Commonwealth Fing Auth Pa Rev Rev Bds A-1 (74442PGC3)	A	Interest							
60. Public Fin Auth Wis Rev Taxable Ref Bds Hawaii Pacific (74442PGC3)	A	Interest							
61. Brentwood Calif Infrastructure Fing Auth Inf Rev Tax (10727XVE5)		None							
62. New York N Y City Transitional Fin Auth Rev Future (64971WA59)	A	Interest							
63. Maryland St Stad Auth Rev Taxable Ref Constr Rev Prog (574296CA5)		None							
64. NY N Y City Transitional Fin Auth Bldg Aid Rev Taxable Bds (64972H5E8)	A	Interest							
65. Miami-Dade Cnty Fla Aviation Rev Rev Ref Bds (59333PV47)	A	Interest							
66. Wisconsin St Gen Fd Annual Appropriation Rev (977100DC7)	A	Interest							
67. Iowa Fin Auth Single Family MTG Rev Taxable Bds 2023-B (4624674W8)	A	Interest							
68. Auburn Ala Wtr Wks Brd Wtr Rev Taxable Bds 2020 B (050249KQ3)	A	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
69. University Ark Univ Rev Taxable Ref Various Fac Bds Fayetteville (9140)	A	Interest							
70. University Calif Revs Taxable Ltd Proj Rev Bds 2018 P (91412HDP5)	A	Interest							
71. Golden St Tob Securitization Corp Calif Tob Settlement (38122NA93)	A	Interest							
72. New York St Urban Dev Corp Rev Tax Personal Income Tax (6500355X2)		None							
73. Miami-Dade Cnty Fla Wtr & Swr Rev Taxable Sys Bds 2019 C (59334DLK8)	A	Interest							
74. New Jersey Economic Dev Auth St Pension Fdg Rev Rev Bds (645913AA2)	B	Interest							
75. Florida St Brd Admin Fin Corp Rev Taxable Bds 2020A (341271AF1)	A	Interest							
76. Oklahoma St Capitol Impt Auth St Facs Rev Taxable Bds (679088LB8)	A	Interest							
77. Pennsylvania St Univ Taxable Bds 2020 D (709235S97)	A	Interest							
78. Texas St Taxable Go Ref Bds 2021 A (882724WY9)	A	Interest							
79. Hayward Calif Uni Sch Dist Taxable Go Ref Bds 2020 (4212906D4)	A	Interest							
80. JPMorgan Chase & Co Sr NT (46625HJH4)	A	Interest							
81. Oracle Corp Fxd Rt Sr NT (68389XBL8)	A	Interest							
82. Wells Fargo & Co Medium Term Sr NTS Fixed Rate Notes Series (95000U2C6)	B	Interest							
83. Morgan Stanley Fixed Rate Sr NT Ser F (61746BDQ6)	A	Interest							
84. Verizon Communications Inc Fxd Rt NTS (92343VCR3)	A	Interest							
85. United States Bancorp Medium U S Bancorp Medium Term (91159HHZ6)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	B = \$15,001 - \$30,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
86. Goldman Sachs Group Inc Fxd Rt Sr NT Ser E (38145GAH3)	A	Interest							
87. Comcast Corp Gtd Fxd Rt NT (20030NBY6)	A	Interest							
88. Bank Amer Corp Fxd Rt Sr NT Ser L (06051GGA1)	A	Interest							
89. Oracle Corp Fxd Rt NT (68389XBN4)	A	Interest							
90. Anheuser-Busch Inbev Worldwide Inc (035240AL4)	A	Interest							
91. Abbvie Inc Fxd Rt SR NT (00287YBF5)	A	Interest							
92. Boeing Co Sr NT (097023CD5)	A	Interest							
93. Walt Disney Co Gtd NT (254687FL5)	A	Interest							
94. AT&T Inc Fxd Rt Sr NT (00206RGQ9)	A	Interest							
95. Bp Cap Mkts Amer Inc Gtd NT (10373QBL3)	A	Interest							
96. CVS Health Corp Sr NT (126650DQ0)	A	Interest							
97. T-Mobile USA Inc Sr NT (87264ABW4)		None							
98. JPMorgan Chase & Co Sr NT (46647PCU8)	A	Interest							
99. Accenture PLC Ireland (ACN)	A	Dividend							
100. Adobe Sys Inc Com (ADBE)		None							
101. Advanced Auto Pts Inc Com (AAP)	A	Dividend							
102. AES Corp Com (AES)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103. AIA Group LTD (AAGIY)	A	Dividend							
104. Akzo Nodel NV (AKZOY)	A	Dividend							
105. Alcon SA (ALC)	A	Dividend							
106. Alibaba Group Hldg LTD (BABA)		None							
107. Alphabet Inc Cl A (GOOGL)		None							
108. Amazon Com Inc (AMZN)		None							
109. American Intl Group Inc Com (AIG)	A	Dividend							
110. Apple Inc Com (AAPL)	A	Dividend							
111. Applied Materials Inc (AMAT)	A	Dividend							
112. Aptive SHS Com (APTV)		None							
113. Ashtead Group PLC ADR (ASHTY)	A	Dividend							
114. ASML Hldg NV NY (ASML)	A	Dividend							
115. ASSA Abloy AB ADR (ASAZY)	A	Dividend							
116. Atlassian Corp PLC SHS (TEAM)		None							
117. Bank of America Corp Com (BAC)	A	Dividend							
118. Barrick Gold Corp Com (GOLD)	A	Dividend							
119. Baxter Intl Inc Com (BAX)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. Biomarin Pharm Inc Com (BMRN)		None							
121. Bristol Myers Squibb Co Com (BMY)	A	Dividend							
122. Brookfield Asset Mgmt Inc (BAM)	A	Dividend							
123. Brookfield Corp VTG Shs CL A (BN)	A	Dividend							
124. Cameco Corp Com (CCJ)	A	Dividend							
125. Carnival Corp Paired (CCL)		None							
126. Caterpillar Inc Com (CAT)	A	Dividend							
127. Centene Corp Del Com (CNC)		None							
128. Chevron Corp (CVX)	A	Dividend							
129. Cigna Corp (CI)	A	Dividend							
130. Cisco Systems Inc (CSCO)	A	Dividend							
131. Close Bros Group (CBGPY)	A	Dividend							
132. Coca-Cola Europacific Partners PLC (CCEP)	A	Dividend							
133. Corning Inc Com (GLW)	A	Dividend							
134. Credicorp LTD Com (BAP)	A	Dividend							
135. CSX Corp Com (CSX)	A	Dividend							
136. Dassault Sys S A (DASTY)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. DBS Group Hldgs LTD (DBSDY)	A	Dividend							
138. Dexcom Inc Com (DXCM)		None							
139. Disney Walt Co Disney Com (DIS)		None							
140. Eaton Corp PLC (ETN)	A	Dividend							
141. ERSTE Bk Group (EBKDY)	A	Dividend							
142. Experian PLC (EXPGY)	A	Dividend							
143. Fanuc Corp (FANUY)	A	Dividend							
144. Fidelity Natl Information Svs Inc Com (FIS)	A	Dividend							
145. FirstEnergy Corp Com (FE)	A	Dividend							
146. FMC Corp (FMC)	A	Dividend							
147. Freeport-Mcmoran Inc CL B (FCX)	A	Dividend							
148. Grainger WW Inc Com (GWW)	A	Dividend							
149. GSK PLC (GSK)	A	Dividend							
150. Haleon PLC (HLN)	A	Dividend							
151. Heineken NV (HEINY)	A	Dividend							
152. Home Depot Inc Com (HD)		None							
153. Humana Inc Com (HUM)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154. Ing Groep NV ADR (ING)	A	Dividend							
155. Intel Corp Com (INTC)	A	Dividend							
156. Intuit Com (INTU)	A	Dividend							
157. Intuitive Surgical Inc Com (ISRG)		None							
158. JPMorgan Chase & Co Com (JPM)	A	Dividend							
159. KDDI Corp ADR (KDDIY)	A	Dividend							
160. Kubota Corp ADR (KUBTY)	A	Dividend							
161. Lauder Estee Cos Inc CL A (EL)	A	Dividend							
162. Lilly Eli & Co Com (LLY)	A	Dividend							
163. Lowes Cos Inc Com (LOW)	A	Dividend							
164. LVMH Moet Hennessy Louis Vuitton ADR (LVMUY)	A	Dividend							
165. Magna International Inc Com (MGA)	A	Dividend							
166. Marathon Pete Corp Com (MPC)	A	Dividend							
167. Marsh & McLennan Cos Inc Com (MMC)	A	Dividend							
168. Maui Group Co LTD ADR (MAURY)	A	Dividend							
169. Meta Platforms Inc CL A (META)		None							
170. Metlife Inc Com (MET)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
171. Michelin Compagnie Generale Des Establishments ADR (MGDDY)	A	Dividend							
172. Microsoft Corp Com (MSFT)	A	Dividend							
173. Monster Beverage Corp (MNST)		None							
174. Morgan Stanley Com (MS)	A	Dividend							
175. Munuch Re Group ADR (MURGY)	A	Dividend							
176. Netflix Inc Com (NFLX)		None							
177. Nextera Energy Inc Com (NEE)	A	Dividend							
178. Nidec Corp Spons ADR (NJDCY)	A	Dividend							
179. Nike Inc CL B (NKE)	A	Dividend							
180. Novartis AG (NVS)	A	Dividend							
181. Nvidia Corp Com (NVDA)	A	Dividend							
182. NXP Semiconductors NV Com (NXPI)	A	Dividend							
183. Otsuka Hldgs Co LTD (OTSKY)	A	Dividend							
184. Palo Alto Networks Inc Com (PANW)		None							
185. Pan Pac Intl Hldgs Corp (DQJCY)	A	Dividend							
186. Paypal Hldgs Inc (PYPL)		None							
187. P&E Corp Com (PCG)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
188. Philip Morris Intl Inc Com (PM)	A	Dividend							
189. Qualcomm Inc (QCOM)	A	Dividend							
190. Qurate Retail Inc QVC Group Com Ser A (QRTEA)		None							
191. Raytheon Technologies Corp Com (RTX)	A	Dividend							
192. Reckitt Benckiser PLC (RBGLY)	A	Dividend							
193. Rentokil Initial PLC (RTOKY)	A	Dividend							
194. S&P Global Inc Com (was IHS Markit) (SPGI)	A	Dividend							
195. Safran SA (SAFRY)	A	Dividend							
196. Salesforce.com Inc Com (CRM)		None							
197. Sea LTD (SE)		None							
198. Sherwin-Williams Co Com (SHW)	A	Dividend							
199. Sony Group Corp Amern (SONY)	A	Dividend							
200. Southwest Aircls Co Com (LUV)	A	Dividend							
201. Splunk Inc Com (SPLK)		None							
202. Stryker Corp (SYK)	A	Dividend							
203. Symrise AG ADR (SYIEY)	A	Dividend							
204. TechnipFMC PLC (FTI)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 17 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy , sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
205. Teradata Corp Del Com (TDC)		None							
206. Thermo Fisher Scientific Inc Com (TMO)	A	Dividend							
207. Totalenergies SE (TTE)	A	Dividend							
208. Tractor Supply Co Com (TSCO)	A	Dividend							
209. Uber Technologies Inc Com (UBER)		None							
210. Uiopath Inc CL A (PATH)		None							
211. ULTA Salon Cosmetics & Fragrance Inc (ULTA)		None							
212. Unilever PLC (UL)	A	Dividend							
213. Union Pac Corp Com (UNP)	A	Dividend							
214. United Parcel Svs Inc CL B (UPS)	A	Dividend							
215. UnitedHealth Group Inc Com (UNH)	A	Dividend							
216. Unity Software Inc Com (U)		None							
217. Verizon Communications Inc Com (VZ)	A	Dividend							
218. Visa Inc Com CL A (V)	A	Dividend							
219. Wells Fargo & Co (WFC)	A	Dividend							
220. Williams Cos Inc Com (WMB)	A	Dividend							
221. Workday Inc CL A (WDAY)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
222. Zoetis Inc Com (ZTS)	A	Dividend							
223. Equinix Inc Com REIT (EQIX)	A	Dividend							
224. Baron Emerging Markets Fund Institutional Class (BEXIX)		None							
225. Eaton Vance Floating Rate Fund Class I (EIBLX)	B	Dividend							
226. Eaton Vance Income Fund of Boston I (EIBIX)	B	Dividend							
227. Goldman Sachs Emerging Markets Equity Insights FD Class (GERIX)	B	Dividend							
228. The Hartford International Value Fund Class I (HILIX)	A	Dividend							
229. WCM Focused International Growth Fund Institutional (WCMIX)	A	Dividend							
230. Lord Abbett Ultra Short Bond Fund Class I (LUBYX)	A	Dividend							
231. Pimco International Bond Fund Instl CL (PFORX)	C	Dividend							
232. Principal Spectrum Pref&Cptl Scs IncInst (PPSDX)	B	Dividend							
233. TWC Emerging Markets Income Fd CL I (TGELX)	D	Dividend							
234. Victory Trivalent International Sm Cap Fund Class I (MISIX)		None							
235. Western Asset Core Plus Bond Fund Class I (WACPX)	D	Dividend							
236. ETFMG Prime Mobile Payments ETF (IPAY)		None							
237. Global X FinTech ETF (FINX)	A	Dividend							
238. iShares Gold Trust (IAU)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less P = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period			
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)
239. iShares Global Healthcare ETF (IXJ)	A	Dividend						
240. iShares Core S&P Small-Cap ETF (IJR)		None						
241. iShares MSCI United Kingdom ETF (EWU)		None						
242. iShares Mortgage Real Estate Capped ETF (REM)		None						
243. Schwab U.S. TIPS ETF (SCHP)		None						
244. SPDR S&P Global Natural Resources ETF (GNR)	A	Dividend						
245. Financial Select Sector SPDR Fund (XLF)	A	Dividend						
246. VanEck Oil Services ETF (OIH)		None						
247. Vanguard Dividend Appreciation Index Fund (VIG)	B	Dividend						
248. Vanguard Scottsdale Vanguard Short-Term Treasury Index Fund (VGSH)	A	Dividend						
249. Vanguard Scottsdale Vanguard Russell 1000 Growth Index Fund (VONG)	A	Dividend						
250. Vanguard Scottsdale Vanguard Long-Term Treasury Index Fund (VGLT)	C	Dividend						
251. Account #4 (H)								
252. Vanguard Target Retirement 2025 (VTVX)		None	K	T				
253. Account #5 (H)								
254. Schulte Roth & Zabel SRP - Protective Life Pre-Mixed Moderate Port. (no control)		None	O	T				
255. Schulte Roth & Zabel SRP - Symetra Life Pre-Mixed Moderate Port. (no control)		None	P1	T				

1 Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS

— income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
256. Account #6 (H)									
257. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	C	Dividend	K	T					
258. NY St Dorm Auth Revs Non St Supported Debt Rev Bds Icahn (64990BPX5)	A	Interest							
259. NY N Y City Trans Fin Auth Rec Future Tax Secured Sub Bds (64971WEM8)	C	Interest							
260. NY St Dorm Auth Revs Non St Supported Debt Rev Bds St John (6499075T5)	C	Interest	K	T					
261. NY St Dorm Auth Revs Non St Supported Debt Rev Bds Columbi (6499077C0)	C	Interest	K	T					
262. NY N Y Go Bds Fiscal 2020 C-1 (64966QGS3)	C	Interest	M	T					
263. Triborough Brdg & Tunn Auth N Y Revs Gen Rev Ref Bds B (89602N7G3)	C	Interest	L	T					
264. Utility Debt Securitization Auth NY Restructuring Bds (91802RBJ7)	C	Interest	K	T					
265. NY St Environmental Facs Corp St Clean Wtr & Drinking Wtr (64986A6V8)	A	Interest							
266. Metro Transn Auth NY Dedicated Tax Fd Ref Green Bds (59259N7Y3)	C	Interest	K	T					
267. New York St Urban Dev Corp Rev St Pers Income Tax Rev Bds (6500353W6)	C	Interest	K	T					
268. Monroe Cnty N Y Indl Dev Egy Sch Fac Rev Bds Rochester Sch (610730CH4)	C	Interest	K	T					
269. NY St Dorm Auth Sales Tax Rev St Supp Debt 2018 E Group 2 (64990AMK8)	C	Interest	L	T					
270. NY St Dorm Auth St Pers Income Tax Rev Gen Purp (64990FYB4)		None	M	T					
271. NY N Y City Mun Wtr Fin Auth Wtr & Swr Sys Rev Sec Gen Res (64972GA46)	C	Interest	K	T					
272. NY St Dorm Auth Revs Non St Supt Debt Sch Dist Bd Fin Prog (64990G6A5)	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273. NY N Y City Mun Wtr Fin Aith Wtr & Swr Sys Rev 2nd Gen Res (64972GUY8)		None							
274. NY St Dorm Auth Sales Tax Rev St Supported Debt Bds 2018 C (64990AJY2)	B	Interest							
275. NY St TWY Auth St Pers Income Tax Rev Bds 2021A-1 (650028WV1)	C	Interest	L	T					
276. NY N Y Go Bds Fiscal 2021- (64966QWF3)	C	Interest	K	T					
277. NY St Environmental Facs Corp St Clean Wtr & Drnkg Wtr Rev (64986DJL0)	C	Interest	K	T					
278. NY St Dorm Auth St Pers Income Tax Rev Gen Purp Bds 2020 (64990FYB4)	C	Interest							
279. New York St Twy Auth Gen Rev Bds (650009V83)	A	Interest							
280. NY St Urban Dev Corp Rev Pers Inc Tax Gen Prup Bds 2020 (650036BW5)	A	Interest							
281. NY N Y City Trans Fin Auth Bldg Aid Rev Bds Fiscal 2020S1 (64972H4G4)	A	Interest							
282. NY N Y CityTtrans Fin Auth Rev Future Tax Secured Sub Bds (64971XC63)	A	Interest							
283. Long Is Pwr Auth N Y Elec Sys Rev Gen Bds 2018 (542691BR0)	C	Interest	L	T					
284. NY St Urban Dev Corp St Sales Tax Rev Bds 2019 A (64985TAF8)	C	Interest	K	T					
285. NY N Y City Mun Wtr Fin Auth Wtr & Swr Rev Sec Gen Res Bds (64972GYX6)	C	Interest	K	T					
286. Long Is Pwr Auth N Y Elec Sys Rev Gen Bds 2021 A (542691EQ9)	C	Interest	M	T					
287. NY St Dorm Auth Revs Non St Supported Debt Bds Memorial (64990GVD1)	B	Interest							
288. NY N Y City Trans Fin Auth Bldg Aid Rev Bds Fiscal 2020S1 (64972H4H2)	A	Interest							
289. Port Auth N Y & N J Consolidated Two Hundred Twelfth (73358WX98)	A	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
290. NY N Y City Trans Fin Auth Rev Future Tax Secd Sub Bds Fis (64971XF60)	C	Interest							
291. NY St Twy Auth Gen Rev Bds N (650009T60)	B	Interest	K	T					
292. NY St Urban Dev Corp Rev Pers Income Gen Purp Bds 2020 E (650036DC7)	C	Interest							
293. New York N Y City Trans Fin Auth Bldg Aid Rev Bds 2019 (64972HU91)		None	M	T					
294. NY St Urban Dev Corp St Sales Tax Rev Bds 2023 (64985TEF4)		None	M	T					
295. NY N Y City Tr Fin Auth Bldg Aid Rev Bds 2013 S-1 (64972HTQ5)	B	Interest							
296. NY St Dorm Auth Sales Tax Rev St Supp Debt BDS 2023 (64990ASH9)		None	K	T					
297. Triborough Brdg & Tunl Auth N Y Pri Mob Tax SR Lien Ref (89602HDD6)	C	Interest	M	T					
298. Account #7 (H)									
299. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	A	Dividend	J	T					
300. ETFMG Prime Mobile Payments ETF (IPAY)		None							
301. First Trust NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T					
302. Invesco Exchange-Traded Fd TR S&P 500 Equal Weight ETF (RSP)	A	Dividend	J	T					
303. Global X FinTech ETF (FINX)	A	Dividend							
304. iShares Gold Trust (IAU)		None							
305. iShares TR Global Healthcare ETF (IXJ)	A	Dividend							
306. iShares Inc MSCI Brazil ETF (EWZ)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
307. iShares TR Short-Term Natl Mun Bd ETF FD (SUB)	B	Dividend	L	T					
308. iShares TR JPMorgan USD Emerging Mkts Bd ETF (EMB)	B	Dividend	J	T					
309. iShares TR Natl Mun Bd ETF FD (MUB)	C	Dividend	L	T					
310. iShares TR MSCI China ETF (MCHI)	A	Dividend							
311. iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T					
312. iShares TR Core MSCI Intl Developed Mkts ETF (IDEV)	C	Dividend	L	T					
313. iShares TR MSCI UTD Kingdom ETF (BWU)	A	Dividend							
314. iShares TR Mtg Real Estate ETF (REM)		None							
315. iShares TR Broad USD High Yield Corporate Bd ETF (USHY)	A	Dividend	J	T					
316. SPDR Ser TR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T					
317. SPDR Ser TR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T					
318. SPDR S&P Global Natural Resources ETF (GNR)	A	Dividend							
319. VanEck Oil Services ETF (OIH)		None							
320. Vanguard Specialized Funds Divid Appreciation ETF Shs (VIG)	A	Dividend							
321. Vanguard Whitehall Fds Divid Appreciation ETF Shs (VIGI)	A	Dividend	K	T					
322. Vanguard Scottsdale FDS Vanguard Short-Term Treas Index FD (VGSH)	A	Dividend							
323. Vanguard Index Fds S&P 500 ETF Shs (VOO)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
324. Vanguard Index Fds Vanguard Extended Mkt ETF (VXF)	B	Dividend	K	T					
325. Account #8 (H)									
326. Invesco Charter A (CHTRX)	E	Dividend	M	T					
327. Western Asset Short-Term Bond Fund (SBSTX)	D	Dividend	M	T					
328. Account #9 (H)									
329. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	A	Dividend	J	T					
330. ETFMG Prime Mobile Payments ETF (IPAY)	A	Dividend							
331. First Trust NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T					
332. Global X FinTech ETF (FINX)	A	Dividend							
333. iShares Gold Trust (IAU)		None							
334. iShares TR Global Healthcare ETF (IXJ)	A	Dividend							
335. iShares Inc MSCI Brazil ETF (EWZ)	A	Dividend							
336. Invesco Exchange-Traded Fd TR S&P 500 Equal Weight ETF (RSP)	A	Dividend	J	T					
337. iShares TR Short-Term Natl Mun Bd ETF FD (SUB)	B	Dividend	L	T					
338. iShares TR JPMorgan USD Emerging Mkts Bd ETF (EMB)	B	Dividend	J	T					
339. iShares TR Natl Mun Bd ETF FD (MUB)	C	Dividend	L	T					
340. iShares TR MSCI China ETF (MCHI)	A	Dividend							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
341. iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T					
342. iShares TR Core MSCI Intl Developed Mkts ETF (IDEV)	C	Dividend	L	T					
343. iShares TR MSCI USD Kingdom ETF (EWU)		None							
344. iShares TR Mtg Real Estate ETF (REM)		None							
345. iShares TR Broad USD High Yield Corporate Bd ETF (USGHY)	B	Dividend	J	T					
346. SPDR Ser TR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T					
347. SPDR Ser TR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T					
348. SPDR S&P Global Natural Resources ETF (GNR)	A	Dividend							
349. VanEck Oil Services ETF (OIH)		None							
350. Vanguard Specialized Funds Divid Appreciation ETF Shs (VIG)	A	Dividend							
351. Vanguard Whitehall Fds Divid Appreciation ETF Shs (VIGI)	A	Dividend	K	T					
352. Vanguard Scottsdale FDS Vanguard Short-Term Treas Index FD (VGSH)	A	Dividend							
353. Vanguard Index Fds S&P 500 ETF Shs (VOO)	C	Dividend	M	T					
354. Vanguard Index Fds Vanguard Extended Mkt ETF (VXF)	A	Dividend	K	T					
355. Account #10 (H)									
356. Invesco Charter A (CHTRX)	E	Dividend	M	T					
357. Western Asset Short-Term Bond Fund (SBSTX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy , sell , redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
358. Account #11 (H)									
359. Western Asset Government CL A (SMGXX) (cash eq.)	C	Dividend							
360. Western Asset Interm Maturity NY Muni A (IMNYX)	D	Dividend							
361. Account #12 (H)									
362. Invesco American Franchise A (VAFAX)	C	Dividend	L	T					
363. Account #13 (H)									
364. Western Asset Institutional Government Reserves (INGXX) (cash eq.)	D	Dividend	K	T					
365. Tompkins Cnty N Y Dev Corp Rev Bds Ithaca College 2015 (890096BQ2)	D	Interest							
366. Erie Cnty N Y Go Bds A (295084MG9)	D	Interest							
367. NY St Dorm Auth Revs Non St Supported Debt Rev Bds Icahn (64990BPX5)	B	Interest							
368. NY St Dorm Auth Revs Non St Supported Debt Rev Bds St John (6499075T5)	D	Interest	L	T					
369. NY St Dorm Auth Revs Non St Supported Debt Rev Bds Colum (6499077C0)	D	Interest	L	T					
370. Nassau Cnty N Y Swr & Storm Wtr Fin Auth Rev Rev Bds A (63166QCA6)	D	Interest	L	T					
371. NY St Environmental Facs Corp Rev St Revolving Fds Bds (64985HXZ5)	D	Interest	L	T					
372. Utility Debt Securitization Auth NY Restructuring Bds (91802RBJ7)	D	Interest	M	T					
373. NY St Dorm Auth St Pers Inc Tax Rev Gen Purp Bds 2018A (64990FMN1)	D	Interest	M	T					
374. NY St Environmental Facs Corp St Clean Wtr & Drinking Wtr (64986A6V8)	B	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
375. MetroTransn Auth NY Dedicated Tax Fd Ref Green Bds B-1 (59259N7Y3)	D	Interest	L	T					
376. Monroe Cnty N Y Indl Dev Egy Sch Fac Rev Bds Roch Schs Mod (610730CH4)	D	Interest	L	T					
377. NY N Y City Mun Wtr Fin Auth Wtr & Swr Sys Rev (64972GUY8)		None							
378. NY St Dorm Auth Revs Nin St Supp Debt Univ Facs Bds 2019A (64990GVY5)	C	Interest							
379. Triborough Brdg & Tunl Auth N Y Revs Gen Rev Ref Bds B (89602N7MO)	C	Interest							
380. NY St Dorm Auth Sales Tax Rev St Supported Debt Bds 2018A (64990AHP3)	D	Interest	M	T					
381. NY St Dorm Auth St Pers Income Tax Rev Gen Purp Bds 2020 A (64990FYB4)	A	Interest							
382. NY N Y City Trans Fin Auth Bldg Aid Rev Bds Fisca 2022 Sub (64972H5U2)	A	Interest							
383. NY St Urban Dev Corp Rev Pers Income Tax Gen Purp Bds (650036BW5)	C	Interest							
384. NY St Env Facs Corp St Clean Wtr & Drinking Wtr Rev (64986DJL0)		None							
385. NY N Y City Edl Constr Fd Rev Bds 2021 A (649670MQ6)	D	Interest	M	T					
386. Monroe County NY Indl Dev Corp Rev University of Rochester (61075TWS3)		None	M	T					
387. New York NY City Transitional Fin Auth Building Aid (64972H5U2)		None	M	T					
388. NY St Twy Auth Gen Rev Bds (650009V83)	D	Interest	M	T					
389. New York St Twy Auth St Pers Income Tax Rev Bds 2021A-1 (650028WV1)	D	Interest	M	T					
390. NY N Y Go Bds Fiscal 2021- (64966QWF3)	D	Int./Div.	M	T					
391. NY St Dorm Auth Revs Non St Supp Debt Bds Rochester Inst (64990GTG7)	C	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
392. NY N Y City Trans Fin Auth Bldg Aid Rev Bds Fiscal 2020S1 (64972H4G4)	B	Interest							
393. Port Auth N Y & N J Consolidated Bds Two Hundred Twelfth (73358WZ80)	C	Interest							
394. NY N Y City Trans Fin Auth Rev Future Tax Secured Sub Bds (64971XC63)	D	Interest	M	T					
395. Battery Pk City Auth N Y Rev Sr Rev Bds 2019 B (07133AHT2)	C	Interest							
396. NY N Y City Trans Fin Auth Bldg Aid Rev Bds Fiscal 2020S1 (64972H4H2)	C	Interest							
397. NY St Urban Dev Corp St Sales Tax Rev Bds 2019 A (64985TAF8)	D	Interest	M	T					
398. NY N Y City Trans Fin Auth Rev Future Tax Seed Subs Bd Fis (64971XLD8)	D	Interest	M	T					
399. Port Auth N Y & N J Consolidated Bds 222 (73358W6M1)	D	Interest							
400. Port Auth N Y & N J Consolidated Bds Two Hundred Twelfth (73358WZ98)	A	Interest							
401. NY St Twy Auth St Pers Income Tax Rev Bds 2021 A-1 (650028WX7)	D	Interest	M	T					
402. NY N Y City Mun Wtr Fin Auth Wtr & Swr Sys Rev Sec Gen (64972GVC5)	D	Interest	M	T					
403. New York S Dorm Auth Revs (65000BZR2)		None	M	T					
404. Battery Park City Auth NY Rev Sr (07133AHV7)	D	Interest	N	T					
405. NY St Dorm Auth St Pers Income Tax Rev Gen Purp Bds (64990FK86)	D	Interest	M	T					
406. New York St Dorm Auth Revs (65000BN20)		None	M	T					
407. Triborough Bridge & Tunnel Auth NY (89602RJT3)	B	Interest							
408. New York NY City Transitional Fin Auth (64971X7Z5)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy , sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
409. New York St Urban Dev Corp Rev Pers Income Tax Gen Purp (650036CA2)		None	M	T					
410. Triborough Bridge & Tunnel Auth NY Payroll Mobility (89602HDD6)	C	Interest	M	T					
411. Triborough Bridge & Tunnel Auth NY Payroll Mobility (89602HGF8)		None	M	T					
412. NY N Y City Trans Fin Auth Rev Future Tax Secd Sub Bds Fis (64971XK64)		None							
413. NY St Pwr Auth Green Transmission Proj Rev Bds 2022A (65000XAP5)		None							
414. NY N Y City Transi Fin Auth Bldg Aid Rev Rev Bds 2013 S-1 (64972HTQ5)	B	Interest							

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 30 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

FINANCIAL DISCLOSURE REPORT

Page 31 of 31

Name of Person Reporting

Stein, Gary

Date of Report

01/25/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Gary Stein*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544

Rouviere
10950 SW 84 Ct.
Miami, FL 33156

RECEIVED
SDNY PRO SE OFFICE
2024 NOV 14 AM 8:50

United States District Court
Southern District of New York
Pro Se Office
United States Courthouse
500 Pearl Street, Room 200
New York, NY 10007

Align bottom of peel-and-stick airbill or pouch here.